

# MONEY LAUNDERING CHECK - NATURAL PERSON/ LEGAL ENTITY

In accordance with the Swedish act (2017:630) on measures against money laundering and terrorist financing



With reference to applicable regulations for the financial markets, including the rules on measures against money laundering and terrorist financing, the Swedish Financial Supervisory Authority (Finansinspektionen) has issued special regulations for supervised investment companies. The rules require investment companies to verify the identity of the parties with whom they transact business or for whom they perform transactions in accordance with a specifically prescribed arrangement.

**Note! If you are a natural person and not a company, please proceed to the questions below.**

Beneficial owner*			
Natural person (first name and surname)	Personal ID number	Ownership (%)	Share of votes (%)
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**\* Beneficial owners are:**

- Natural persons who, alone or with related persons, ultimately own more than 25% of the votes in the legal entity.
- Natural persons who, alone or with related persons, has the right to elect or dismiss more than 50% of the legal entity's board members or equal executives.
- Natural persons who, alone or with related persons, as a result of agreement with owners, members, the legal entity, regulations in the articles of association, company agreements and/or comparable agreements can control the company in accordance with the above..

**There are no beneficial owners in accordance with the above.** Sedermera Fondkommission will therefore consider the company's Chairman of the Board, CEO or other equivalent executive as the beneficial owner.

*If the ownership structure is complex or comprises a number of ownership levels, or the legal entity is owned by a foundation, Please contact Sedermera Fondkommission*

### Control questions relating to measures against money laundering and terrorist financing

**1. What is the purpose with the transaction?**

- Savings/investment     Securities trading     Other – please specify: \_\_\_\_\_

**2. Origin of the capital (multiple options are possible)**

- Old savings/investments/capital income     Salary/pension/bonus     Inheritance/gift  
 Sale of property/company     Other – please specify: \_\_\_\_\_

**3. What amount are you/ the company planning to invest through Sedermera during the coming year?**

- 1-50 000 SEK     50 000-150 000 SEK     150 000-500 000 SEK     500 000 SEK or more

**4. PEP – Politically exposed persons**

Have you/ any of the beneficial owners or any of the company's representatives (such as the CEO, board members, chairman and/or authorized signatories), any of their employees or any of their immediate family members been a politically exposed person (PEP\*) in the last 18 months?

- Yes     No

**If the answer is Yes, please specify:**

Function: \_\_\_\_\_ Country: \_\_\_\_\_

The person's name and your relationship (if the person who held the function is someone other than yourself): \_\_\_\_\_

\* A PEP is a person in a politically exposed position who holds, or has held, an important public function in a governmental or international organisation. This person's immediate family members and close colleagues should also be treated as PEPs. Examples are heads of state and of government, ministers, judges, ambassadors and members of parliament.

**5. Operations in high-risk jurisdictions**

Do you/ the company have operations in any of the following high-risk jurisdictions; Afghanistan, Bosnia and Herzegovina, Guyana, Laos, Vanuatu, Syria, Iran, Iraq, Yemen, Ethiopia, Uganda or North Korea?

- Yes     No    If the answer is yes, please specify Country: \_\_\_\_\_

### SIGNATURES

The form must be signed and then sent, together with an authorization document to nyemission@sedermera.se. **A verified copy of an Identity document ( such as drivers license or pasport) shall be sent to Sedermera Fondkommission via mail to Norra Vallgatan 42, 211 22 Malmö, Sweden.**

**Documents to attach Natural Person:**

- A verified copy of an Identity document

**Handlingar att bifoga för juridisk person:**

- A verified copy of an Identity document of authorized representatives
- A copy of a valid power of attorney or a certificate of incorporation (No more than 1 month old)

Signatures	
Place and date	Place and date
Signature of the Party/ Authorized signatory	Sedermera Fondkommission
Print name	Print name

*I confirm that all questions have been answered correctly and I will inform Sedermera in the event of any changes.*